

01-16-01

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JCS49 U.S. PTO  
01/12/01

## UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

0013-011P1

JC973 U.S. PTO  
09/760271  
01/12/01

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, David N. Harris, entitled System and Method for Pre-Verifying Commercial Transactions, for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):  
 ( ) Continuation ( ) Divisional (X) Continuation-in-part (CIP)  
 of prior application No: 09/617,361 Filed on: 7/17/2000.  
 (X) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 36 Total Pages. (X) Drawing(s); 17 Total Sheets.

(X) Oath or Declaration:  
 (X) A Newly Executed Combined Declaration and Power of Attorney:  
 (X) Signed. ( ) Unsigned. ( ) Partially Signed.  
 ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.  
 ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
 ( ) Power of Attorney. (X) Return Receipt Postcard.  
 ( ) Associate Power of Attorney. (X) A Check in the amount of \$499.00 for the Filing Fee.  
 ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
 ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
 ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
 ( ) Statement(s) of Status as a Small Entity.  
 (X) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
 ( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEES
Total Claims	36	16	\$9.00	\$ 144.00
Independent Claims	2	0	\$40.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$355.00
			Total Filing Fee	\$ 499.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Larry E. Henneman, Jr.  
 Larry E. Henneman, Jr., Reg. No.41,063

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

By: Larry E. Henneman, Jr.

Typed Name: Larry E. Henneman, Jr.

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